## SEC Form 4

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

| OMB Number:              | 3235-0287 |  |  |  |  |  |  |  |
|--------------------------|-----------|--|--|--|--|--|--|--|
| Estimated average burden |           |  |  |  |  |  |  |  |
| hours per response       | : 0.5     |  |  |  |  |  |  |  |

| STATEMENT | OF CHANGES | <b>IN BENEFICIAL</b> | <b>OWNERSHIP</b> |
|-----------|------------|----------------------|------------------|
|-----------|------------|----------------------|------------------|

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person <sup>*</sup><br><u>Traynor Richard M.</u> |                    | Person <sup>*</sup> | 2. Issuer Name <b>and</b> Ticker or Trading Symbol Certara, Inc. [CERT]   | 5. Relationship of Reporting Person(s) to Issuer<br>(Check all applicable)<br>Director 10% Owner |  |  |  |  |  |
|---|--------------------|---------------------|---|--|--|--|--|--|--|
| (Last)<br>C/O CERTARA   | (First)<br>A, INC. | (Middle)            | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/05/2024  | X Officer (give title Other (specify below) below) SVP AND GENERAL COUNSEL                       |  |  |  |  |  |
| 100 OVERLOOK CENTER, SUITE 101  |                    | SUITE 101           | 4. If Amendment, Date of Original Filed (Month/Day/Year)  | 6. Individual or Joint/Group Filing (Check Applicable Line)                                      |  |  |  |  |  |
| (Street)<br>PRINCETON   | NJ                 | 08540               |   | X Form filed by One Reporting Person<br>Form filed by More than One Reporting<br>Person          |  |  |  |  |  |
| (City)  | (State)            | (Zip)               | Rule 10b5-1(c) Transaction Indication   |  |  |  |  |  |  |
|   |                    |                     | Check this box to indicate that a transaction was made pursuant satisfy the affirmative defense conditions of Rule 10b5-1(c). See |  |  |  |  |  |  |

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Code (Instr.     |   |        |               |                           | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|------------------|---|--------|---------------|---------------------------|---|---|---|
|                                 |  |   | Code             | v | Amount | (A) or<br>(D) | Price                     | Transaction(s)<br>(Instr. 3 and 4)  |   | (Instr. 4)  |
| Common Stock                    | 03/05/2024                                 |   | A                |   | 10,765 | A             | <b>\$0</b> <sup>(1)</sup> | 173,133   | D   |   |
| Common Stock                    | 03/05/2024                                 |   | F <sup>(2)</sup> |   | 3,660  | D             | \$18.88                   | 169,473   | D   |   |

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of Expiration Date<br>Derivative (Month/Day/Year)<br>Securities<br>Acquired<br>(A) or |  | Expiration Date Amount of |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|---|--|---------------------------|--|---|--|--|--|
|   |   |  |   |                              |   |   |  |                           |  |   |  |  | Transaction(s)<br>(Instr. 4)                                       |
|   |   |  |   | Code                         | v |   |  |                           |  | Title   | Amount<br>or<br>Number<br>of<br>Shares   |  |  |

Explanation of Responses:

1. Each performance stock unit ("PSU") was granted on April 1, 2021, under the Certara, Inc. 2020 Incentive Plan and represents a right to receive one share of common stock. The PSUs are subject to the achievement of certain performance objectives over a three-year period from January 1, 2021 to December 31, 2023. The PSUs were vested and settled on March 5, 2024.

2. Represents shares of the issuer withheld to satisfy tax withholding obligations in connection with the vesting of PSUs described in footnote 1. /s/ Richard M. Traynor

03/06/2024

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $^{\ast}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).